

HOUSE RESEARCH

Bill Summary

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This bill makes a large number of minor and what appear to be technical changes in the securities law. The only fee provisions are contained in sections 12 and 13.

Section 12 strikes a reference to a \$100 filing fee under section 80A.56 (registration by broker-dealers), which appears to be inconsistent with other language in the section (imposing higher fees on broker-dealer registration).

Section 13 imposes a \$100 filing fee on investment adviser filings under section 80A.60.